

Joint Audit Committee

20 October 2021

Responsible Officer:	Impact on Business:		Risk Register Reference & RAG
James Haylett Chief Executive OPCC	High		
	Medium		
	Low	X	
Lead Manager:	Report Type:		
Jack Hudson Head of Business Development OPCC	Governance	X	
	Strategic		
	Operational		
Previous papers to Committee:			
29 April 2021: Six-monthly update to JAC showing changes to the Strategic Risk Register.			

Report summary	<p>This is the six-monthly update to the Joint Audit Committee (JAC) showing changes to the Strategic Risk Register (SRR). The SRR is reviewed by the OPCC on a quarterly basis. The output from these reviews is presented to JAC on a six-monthly basis. A review occurred for Q1 in July 2021 and for Q2 in October 2021.</p> <p>By exception;</p> <ul style="list-style-type: none"> No new risks have been added since April 2021. In April 2021 risk 3.6 was added. This highlighted that 'There is a risk that the Commissioner and Chief Constable fail to work together effectively'. This risk was raised in recognition of the Police and Crime Commissioner elections which took place on 6 May 2021. The Constabulary also raised a risk 'That the new PCC may set new priorities for the Constabulary before fully understanding the existing landscape.' <p>Mitigation to ensure a smooth transition for both risks was put in place. In May 2021 the Commissioner was elected and took up office. Subsequently working practices have evolved which continue to mitigate these risks. The PCC and the Chief Constable meet regularly to discuss risks and issues. Although these meetings sit outside the formal governance arrangements they play a large part in mitigating this risk.</p>
-----------------------	--

	<ul style="list-style-type: none"> • In relation to the formal governance arrangements, these are being reviewed and refined to support the PCC to fulfil his statutory requirements. During the next reporting period the PCC will launch his Police & Crime Plan. A delivery plan will be developed to support that plan. Proposals to refine governance arrangements will have developed and considered through the appropriate channels. This includes performance assurance aligned with financial assurance. This will be presented for consideration by the Business Coordination Board, which is a key part of the existing governance framework. It is suggested that risk 3.6 will be mitigating further and as suggested in April 2021 it is likely that this risk will be removed from the SRR. • As part of the review into governance arrangement, the SRR will be reviewed at the end of Q3 to ensure that all risks in relation to the ability of the PCC to meet his statutory functions have controls in place and arrangement for assurance identified. Progress on this will be presented to JAC in January 2022. 			
Related Police and Crime Plan objective				
Action (tick one box only)	Information <input type="checkbox"/>	Assurance <input checked="" type="checkbox"/>	Approval <input type="checkbox"/>	Decision <input type="checkbox"/>
Recommendation	That JAC note the review of the Strategic Risk Register.			