



To: Joint Audit Committee
From: Supt Adam Gallop, Head of Organisational Improvement Centre
Date: 21 April 2021

Audit Recommendations – Non-Financial Business Areas – Progress Report

1. Purpose

- 1.1 The purpose of this paper is to update the Joint Audit Committee on progress against outstanding non-financial audit recommendations for the Constabulary.

2. Recommendation

- 2.1 The Committee is asked to note the contents of the report.

3. Background

- 3.1 Monitoring of the recommendations from internal and external non-financial audit reports is undertaken by the Organisational Improvement Centre (OIC). This is done via an action plan held by OIC.
- 3.2 Recommendations are prioritised into high, medium, or low importance by the auditors. These are then assessed by the Constabulary and strategic owners identified to progress each one.
- 3.3 Actions are placed on to the tri-force Action Monitoring System (AMS).
On AMS actions are given a status of:
- i. Complete
 - ii. Being progressed
 - iii. Will not be progressed.
- 3.4 The force has introduced an Excel status report, to provide an overview of audits completed, owners, assurance and actions. This will enable the Joint Audit Committee to see clearly how many actions have been raised and completed, and how many are outstanding past their due date.
- 3.5 Governance of the progress against RSM audits is through the Business Assurance Meeting and the Force Executive Board.

4. Recent Audit Reports

- 4.1. Four non-financial audit reports were finalised during the period since the last Joint Audit Committee on 28 January 2021.
- 4.2 The Follow up, encompassing all outstanding priority actions for the period to end of March 2020, was completed and the final report issued on 21 April. There are three medium priority actions to be carried forward, relating to Code of Practice for Victims (2) and HR Recruitment Succession Planning (1).
- 4.3 The Ethics and Equality Systems and Improvement Projects was completed and the final report issued on 19 April. There are three low priority actions for consideration, with an audit opinion of Substantial Assurance.
- 4.4 The Business Planning and Project Management audit was completed and the final report issued on 19 April. There is one medium priority action for consideration, with an audit opinion of Substantial Assurance.
- 4.5 The BCH Risk Management audit was completed and the final report issued on 26 January. There are five medium and two low priority actions for consideration. Please note this an advisory audit only, and therefore no audit opinion is given.
- 4.6 There are no outstanding high, medium or low priority actions outside their due date, which are not currently either part of a planned audit or internal review.

5. Current Status

- 5.1 A full review of all outstanding internal non-financial audit actions was completed by OIC in March and presented to the quarterly Business Assurance Meeting (BAM) on 11 March 2021.
- 5.2 Recent actions and new actions arising out of recent audits have been placed onto AMS and a copy of the Excel status report is attached at Appendix 1.

BIBLIOGRAPHY

Source Documents	
Contact Officer	Supt Adam Gallop, Head of Corporate Development, Cambridgeshire Constabulary