



**To:** Joint Audit Committee  
**From:** Supt Adam Gallop, Head of Corporate Development  
**Date:** 22 July 2020

### **Audit Recommendations – Non-Financial Business Areas – Progress Report**

#### **1. Purpose**

- 1.1 The purpose of this paper is to update the Joint Audit Committee on progress against outstanding non-financial audit recommendations for the Constabulary.

#### **2. Recommendation**

- 2.1 The Committee is asked to note the contents of the report.

#### **3. Background**

- 3.1 Monitoring of the recommendations from internal and external non-financial audit reports is undertaken by the Corporate Development Department (CDD). This is done via an action plan held by CDD.
- 3.2 Recommendations are prioritised into high, medium, or low importance by the auditors. These are then assessed by the Constabulary and strategic owners identified to progress each one.
- 3.3 Actions are placed on to the tri-force Action Monitoring System (AMS).  
On AMS actions are given a status of:
- i. Complete
  - ii. Being progressed
  - iii. Will not be progressed.
- 3.4 The force has introduced an Excel status report, to provide an overview of audits completed, owners, assurance and actions. This will enable the Joint Audit Committee to see clearly how many actions have been raised and completed, and how many are outstanding past their due date.

#### **4. Recent Audit Reports**

- 4.1. The following non-financial audit report was finalised during the period since the last Joint Audit Committee on 29 April 2020:

- Follow Up audit (8.19/20) dated 8 July. 7 previous medium priority actions were satisfactorily completed from a total of 11 examined by the auditors. The outstanding four actions are being progressed and monitored. Two will be assessed within the spring 2021 Follow Up audit, one has an expiry date of 30 September and the other an expiry date of 30 November 2020.
- 4.2 With regard to the BCH Health and Safety audit (No Assurance) discussed at the previous three JAC meetings, actions are being monitored by the BCH Health and Safety Board, chaired by DCC Rodenhurst.
- 4.3 The Health and Safety follow up final report was received at the end of February.

*Taking account of the issues identified in the remainder of the report in our opinion the organisation has demonstrated progress in implementing the agreed management actions to date, further work is required to complete the implementation of the outstanding actions where due dates have not yet been reached.*

In summary:

From the original 11 priority actions identified in the original audit, 7 have been closed by RSM.

Three of the outstanding priority actions have slipped their deadline dates of 30 April, and the other has a revised deadline of 30 September, agreed by RSM on 1 July.

- 4.4 The BCH Health and Safety updated audit action plan is attached at Appendix 2, for the committee's attention and is being monitored through the BCH Health and Safety Board. The Head of Health and Safety is in regular contact with RSM to ensure they are aware of progress.
- 4.5 Other than the Health and Safety priority actions previously mentioned, there are currently no outstanding high, medium or low priority actions outside their due date.

## 5. Current Status

- 5.1 A full review of all outstanding audit actions was completed by the Constabulary in June 2020.
- 5.2 Recent actions and new actions arising out of recent audits have been placed onto AMS and a copy of the Excel status report is attached at Appendix 1.

## BIBLIOGRAPHY

<b>Source Documents</b>	
<b>Contact Officer</b>	Supt Adam Gallop, Head of Corporate Development, Cambridgeshire Constabulary