



**To:** Joint Audit Committee  
**From:** Dr Natalie Benton, Head of Corporate Development  
**Date:** 11 April 2019

## **Audit Recommendations – Non-Financial Business Areas – Progress Report**

### **1. Purpose**

1.1 The purpose of this paper is to update the Joint Audit Committee on progress against outstanding non-financial audit recommendations for the Constabulary.

### **2. Recommendation**

2.1 The Committee is asked to note the contents of the report.

### **3. Background**

3.1 Monitoring of the recommendations from internal and external non-financial audit reports is undertaken by the Corporate Development Department (CDD). This is done via an action plan held by CDD.

3.2 Recommendations are prioritised into high, medium, or low importance by the auditors. These are then assessed by the Constabulary and strategic owners identified to progress each one.

3.3 Actions are placed on to the tri-force Action Monitoring System (AMS) and delivery is tracked through the Organisational Improvement Group (OIG) chaired by the Head of Corporate Development. On AMS actions are given a status of:

- i. Complete
- ii. Being progressed
- iii. Will not be progressed.

3.4 The force has introduced an Excel status report, to provide an overview of audits completed, owners, assurance and actions. This will enable the Joint Audit Committee to see clearly how many actions have been raised and completed, and how many are outstanding past their due date.

#### **4. Recent Audit Reports**

- 4.1 An audit of Governance – Delivery of Major Projects was undertaken during October 2018, as part of the approved internal audit plan for 2018/19. The final report is dated 12 March 2019, which provided an assessment of partial assurance with nine medium priority actions.
- 4.2 An audit of Business Planning and the Force Management Statement was undertaken during March 2019, as part of the approved internal audit plan for 2018/19. The final report is dated 2 April 2019, which provided an assessment of reasonable assurance with two medium priority actions.
- 4.3 An audit of BCH ICT Infrastructure was undertaken during February 2019, as part of the approved internal audit plan for 2018/19. The revised final report is dated 9 April 2019, which provided an assessment of reasonable assurance with four medium actions and one low priority action.
- 4.4 An audit of BCH Uniform Equipment Stores was undertaken during February 2019, as part of the approved internal audit plan for 2018/19. The final report is dated 10 April 2019, which provided an assessment of reasonable assurance with two medium actions and one low priority action.
- 4.5 An audit of Risk Management was undertaken during February 2019, as part of the approved internal audit plan for 2018/19. The final report is dated 9 April 2019, which provided an assessment of reasonable assurance with one medium and two low priority actions.
- 4.6 RSM were commissioned to perform an advisory assessment of the current data governance processes, procedures and controls across BCH in respect of Information Management (GDPR). The report, dated 21 March 2019, does not provide a level of assurance or internal audit opinion. 11 actions have been identified and will be monitored through the current process by Corporate Development Department.

#### **5. Current Status**

- 5.1 A full review of all outstanding audit actions was completed by the Constabulary in March 2019.
- 5.2 Recent actions and new actions arising out of recent audits have been placed onto AMS and a copy of the Excel status report is attached at Appendix 1.

#### **BIBLIOGRAPHY**

<b>Source Documents</b>	
<b>Contact Officer</b>	Dr Natalie Benton, Head of Corporate Development, Cambridgeshire Constabulary