



To: Joint Audit Committee

From: Chief Executive

Date: 18 March 2014

COMMISSIONER AND CONSTABULARY STRATEGIC RISK MANAGEMENT

1. Purpose

1.1 The purpose of this report is to provide the Committee with an update on strategic risk management and to consider next steps.

2. Recommendations

2.1 The Committee is asked to:

- a) Consider and approve the updated joint (Commissioner and Constabulary) strategic risk register.
- b) Consider the new risk added to the register.
- c) Consider the two risks where the overall risk rating has changed.
- d) Indicate which areas it would wish to consider in further detail during future meetings as controls assurance.

3. Background

3.1 The Joint Strategic Risk Register and Risk Management Strategy were approved at the JAC meeting of 18 September 2013.

3.2 Risk management is embedded into the work of the Office of the Police and Crime Commissioner on an ongoing and continuous basis.

3.3 The Force Risk Register is owned and monitored by the newly established Risk Review Board.

4. Continued Development of the Joint Strategic Risk Register

- 4.1 Following feedback from the Joint Audit Committee the format of the Strategic Risk Register has been changed to give a better visual demonstration of risk and a better audit trail of actions in relation to each risk.
- 4.2 In future the Strategic Risk Register, whilst jointly owned by the Commissioner and Constabulary, will be considered at the force Risk Review Board. This is in order that all risks relating to the Constabulary can be formally considered and reviewed in the same forum.
- 4.3 In February 2014 the risk register was formally reviewed by the BCB and a number of minor amendments were agreed to the wording of the existing risks.

5. Amendments to the Register

New Risk

- 5.1 All Police and Crime Commissioners now have a new power to commission services to support victims of crime. An additional risk has been introduced to the risk register to reflect this.
- 5.2 *R 3.7 That the Commissioner does not recognise and use the new powers provided to PCCs to meet the needs of victims.*
- 5.3 Full details of the risk and the controls are included in the risk register. The impact of the risk materialising is that victims will not receive the required support. A number of controls are in place including the piloting of a new system whilst “double running” with the existing provision for the short term.

Amended Scores

- 5.4 Two risks have had their scores amended
- 5.5 *R3.3 The Commissioner (and Chief Constable if this relates to the functions of the police force) fails to enter into or achieve the benefits of collaboration agreements where it is in the interest of the efficiency or effectiveness of their own or another police force.*
- 5.6 This risk has been reviewed in the light of present progress on collaboration. Significant progress has been made in developing a change programme and a dedicated collaboration team, and a Memorandum of Understanding has been agreed between the 5 corporations sole. However, there are additional considerations in respect of the risk that collaboration partners may “fail” and as collaboration deepens the more reliant on those partners Cambridgeshire becomes. An additional controls assurance has been applied, *CA 3.3.4 Due consideration of governance and risk management arrangements of partner organisations.*
- 5.7 *R3.5 The Commissioner fails to ensure effective arrangements for the Deputy Commissioner, Chief Executive and the Commissioner’s Chief Finance Officer to be appointed, supported and challenged while in post and to remove them from office*

when necessary. The Commissioner fails to provide the Chief Executive with the resources necessary to carry out their duties.

- 5.8 The Office of the Police and Crime Commissioner has been in operation for over a year now and the budget for the forthcoming year has been set. This demonstrates an adequate resourcing level for the additional responsibilities that the Commissioner now has and as such the lowered score for the risk reflects this.

Other amendments

- 5.9 A number of minor amendments to the wording of other risks have been made to reflect the changing environment that the PCC and Constabulary operate in. None of the changes have been judged to affect the scoring of the risks.

6. Recommendations

- 6.1 The Committee is asked to:

- e) Consider and approve the updated joint (Commissioner and Constabulary) strategic risk register.
- f) Consider the new risk added to the register.
- g) Consider the two risks where the overall risk rating has changed.
- h) Indicate which areas it would wish to consider in further detail during future meetings as controls assurance.

7. BIBLIOGRAPHY

Source Document (s)	Contact Officer	Location
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