Joint Audit Committee

31 July 2026

Responsible Officer	Jack Hudson, CEO, OPCC	
Report author	Michelle Lord, Senior Governance & Compliance Officer, OPCC	
Report name	Internal Audit Risk Management OPCC Progress Report	
Risk register reference	All OPCC strategic risks - the report relates to implementation of Internal Audit recommendations affecting the OPCC Strategic Risk Register and wider risk management framework.	
Previous papers to Committee	The OPCC Strategic Risk Register was brought to JAC on 26 February 2026. The report outlined the approach to strategic risks by the OPCC and linkages to the Constabulary strategic risks.	
Report summary	Internal Audit completed a review of OPCC Risk Management in May 2026 and concluded with a Reasonable Assurance opinion. The review identified four management actions aimed at strengthening the quality, consistency and governance of the OPCC Strategic Risk Register. This report provides an update on progress made in implementing those recommendations, including revisions to the Strategic Risk Register, development of supporting guidance for risk owners, introduction of SMART actions and quality assurance arrangements, enhancement of risk scoring and assurance requirements, and improved alignment with the Police and Crime Plan. The report also outlines the remaining activity required to embed the revised arrangements and complete implementation.	
Purpose of the report *Why is the report being presented to the Committee? (Tick all boxes that apply)*	Update/progress report	✓
	Provide assurance	✓
	Present audit findings	
	Risk escalation	
	Policy/strategy review	
	Statutory requirement	
	Other *(please specify)*	

Related Police and Crime Plan Pillar	Building Trust and Confidence, Supporting Victims and Witnesses, Early Intervention and Prevention	
Source(s) of assurance *(Tick all boxes that apply)*	Internal Audit	✓
	External Audit	
	Internal management controls	✓
	Independent review	
	Inspection findings	
	Other *(please specify)*	
Key issues, assurances and concerns	**Key assurance(s):** • Internal Audit provided a Reasonable Assurance opinion regarding OPCC Risk Management arrangements. • All major structural changes to the Strategic Risk Register have been completed. • Revised guidance for risk owners has been developed and implemented. • SMART actions, enhanced assurance requirements, revised scoring arrangements, and Police and Crime Plan alignment have been incorporated into the revised framework. • Quality assurance arrangements have been developed to support future governance and reporting.	
	Significant concern(s): • Final implementation remains dependent upon completion of risk owner review sessions and validation of revised risk entries. • Some elements relating to shared strategic risks remain dependent on the Constabulary's concurrent review and development of its Strategic Risk Register. • Governance arrangements relating to shared risk ownership, scoring and reporting continue to be developed jointly with the Constabulary.	
	Mitigating action(s): • Individual review meetings are being undertaken with risk owners to validate proposed changes. • Shared risk arrangements are being developed jointly with Constabulary colleagues. • Quality assurance processes and supporting review mechanisms have been established and will be applied through the next review cycle. • The revised Strategic Risk Register will be subject to final validation before presentation to the Committee in September 2026.	
Action required	**Information** – *to note the contents of the report*	✓

What is the Committee being asked to do? *(Tick one box only)*	**Assurance** – *to review and provide assurance that arrangements are adequate and effective*	
	Recommendation – *endorse a recommendation to the PCC or CC*	
	Approval – *approve a document, plan or approach where authority is delegated to JAC*	
	Decision – *make a decision wtihin the Committee's delegated authority*	
Recommendation	The Committee is asked to: • Note the progress made in implementing the recommendations arising from the Internal Audit review of Risk Management. • Note the revised Strategic Risk Register framework and supporting guidance. • Note the remaining activity required to complete implementation and embed the revised arrangements.	