

Joint Audit Committee

30 July 2024

Responsible Officer:	Impact on Business:		Risk Register Reference & RAG
Jack Hudson Interim Chief Executive	High		
	Medium	X	
	Low		
Lead Manager:	Report Type:		
Jack Hudson Interim Chief Executive	Governance	X	
	Strategic		
	Operational		
Previous papers to Committee:			
Strategic Risk Register to April 2024			

Report summary	The OPCC have reviewed their processes for risk and governance assurance. A Governance Assurance Framework and new Risk Register have been developed to enable the OPCC to better manage both.			
Related Police and Crime Plan objective				
Action (tick one box only)	Information <input checked="" type="checkbox"/>	Assurance <input checked="" type="checkbox"/>	Approval <input type="checkbox"/>	Decision <input type="checkbox"/>
Recommendation	The Committee to note the new approach to risk in the OPCC.			

OPCC Risk Register and Governance Assurance Framework

1. Purpose

- 1.1 The purpose of this report is to update the Joint Audit Committee on the newly developed Governance Assurance Framework and Risk Register for the OPCC.

2. Background

- 2.1 The OPCC had a Strategic Risk Register (SRR) that was developed for the change from Police Authorities to PCCs.
- 2.2 The SRR was based on statutory duties of the PCC and had not changed or developed since 2012.
- 2.3 The OPCC have considered that the SRR did not accurately manage its actual risks and had become stale and needed a fresh approach.

3. New Approach

- 3.1 The Governance Assurance Framework (GAF) at Appendix 1, lists the statutory duties for the PCC and OPCC along with the assurance arrangements. If there are no arrangements in place, then an action should be in place, or a risk may be raised. The action may refer to a 'bucket' which is a reference to Microsoft Teams and how we manage development and implementation of statutory duties.
- 3.2 The new risk register at Appendix 2, works alongside the GAF and has far fewer risks than the previous SRR and is more relevant and manageable.
- 3.3 Both documents will be maintained by the OPCC staff as and when necessary. The intention is for the GAF to have an annual review. The risk register will be scheduled for BCB in July and early January each year with the option to bring to BCB by exception if required. The risk register will continue to be scrutinised at JAC at six-month intervals.
- 3.4 There may be some small amendments as we work with both documents and embed the processes into our working practices.