



Appendix 1. Joint Strategic Risk Register.


Version: 240328. Updated following Constabulary RRB (26 March 2024) & OPCC EMT Events & Conditions Risk Review (28 March 2024).

Ref:	RiskTitle	Owner	Exec Lead	Date raised	Initial RAG (July 2021)	Risk last updated	Comment	Current RAG Current Direction
SR1.1	There is a risk of failure to achieve benefits of the link between police and communities and the Chief Constable fails to explain the actions of Constabulary.	OPCC CEO	OPCC - DoG&C	April 2021.	I =4, L=4, Severity 21 High	Nov 2023 - Following feedback from IA.	<p>Apr 21 – Risk added. Controls & assurance mechanisms identified.</p> <p>Jul 21 – PCC elected (May 21). Controls & assurance mechanisms reviewed. Severity score reduced to 18 Medium-High.</p> <p>Mar 23 – Review. Risk Exec Lead amended to including specific Exec ownership from OPCC to DoG&C.</p> <p>Nov 23 – IA of Strategy, JSRR, Controls and Assurance resulted in MA. MA 1 - a) JSRR to be updated to include initial risk ratings for all risks. Completed. b) The assurances noted on the JSSR to be updated to ensure that they are clearly linked to documented controls, and that they comprehensively detail the source of assurance. Update: Governance framework in place. Assurance activity continues to map against framework. Activities to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework ongoing. Approach will enable PCC & CC to agree joint strategic risks, which will be managed through a refined JSRR.</p>	I = 3, L = 4 Severity 18 Medium-High =



Mar 24 – Re MA 1b. **Update:** Work ongoing. The OPCC continue to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework. Gap analysis completed. Work scheduled for completion post May 2024. This will enable the PCC & CC to agree joint strategic risks, to be managed through a refined JSRR for presentation at BCB (24 July 2024) in July 2024 then JAC (30 July 2024).

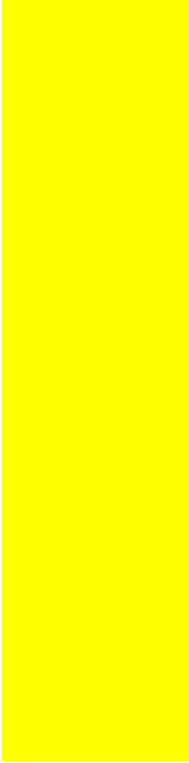


<p>SR2.1 There is a risk that the Commissioner fails to set clear direction through the Police and Crime Plan and objectives and manifesto commitments are not delivered.</p>	<p>PCC</p>	<p>OPCC CEO</p>	<p>April 2021.</p>	<p>I-3, L-4, Severity 18 Medium-High</p>	<p>Mar 24 – Following EMT.</p>	<p>Apr 21 – Risk added. Controls & assurance mechanisms identified</p> <p>Jul 21 – PCC elected (May 21). Controls</p> <p>Nov 21 –</p> <p>Nov 23 – IA of Strategy, JSRR, Controls and Assurance resulted in MA. MA 1 - a) JSRR to be updated to include initial risk ratings for all risks. Completed. b) The assurances noted on the JSSR to be updated to ensure that they are clearly linked to documented controls, and that they comprehensively detail the source of assurance. Update: Governance framework in place. Assurance activity continues to map against framework. Activities to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework ongoing. Approach will enable PCC & CC to agree joint strategic risks, which will be managed through a refined JSRR.</p> <p>Mar 24 – Re MA 1b. Update: Work ongoing. The OPCC continue to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework. Gap analysis completed. Work scheduled for completion post May 2024. This will enable the PCC & CC to agree joint strategic risks, to be managed through a refined JSRR for presentation at BCB (24</p>	<p>I = 2, L = 3 Severity 9 Medium</p> 
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July 2024) in July 2024 then JAC (30 July 2024).

EMT – Increase in severity score from 6 to 9 due to upcoming PCC election May 2024. New Police & Crime Plan to be developed post-election.



SR2.2 There is a risk that the Chief Constable fails to meet the operational expectation of Home Office with respect to Strategic Policing Requirement.

Chief Constable

Constabulary April 2021.

I = 2, L = 4
Severity 14
Medium-High

Nov 2023 - Following feedback from IA.


Apr 21 – Controls & assurance mechanisms identified.
Jul 21 – PCC elected (May 21). Controls & assurance mechanisms reviewed. Severity score reduced to 5 Low. SPR review being undertaken by HO, OPCC are engaged in the process and await outcomes. Inclusion of SPR requirements in P&C Plan and reporting.

Feb 23 – 2023 Version of SPR published. VAWG added as a SPR. Existing controls continue to focus upon VAWG. VAWG incorporated into P&C Plan. No variation to Plan considered necessary at this time.

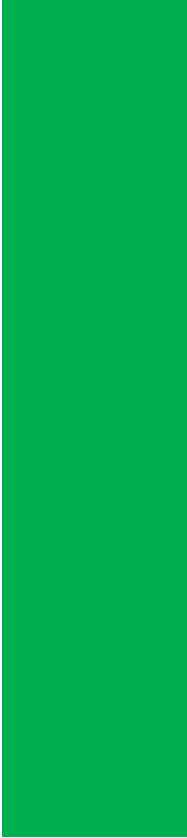
Nov 23 – IA of Strategy, JSRR, Controls and Assurance resulted in MA.
MA 1 - a) JSRR to be updated to include initial risk ratings for all risks . **Completed.**
b) The assurances noted on the JSSR to be updated to ensure that they are clearly linked to documented controls, and that they comprehensively detail the source of assurance. **Update:** Governance framework in place. Assurance activity continues to map against framework. Activities to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework ongoing. Approach will enable PCC & CC to agree joint strategic risks, which will be managed through a refined JSRR.

Feb 24 – **Update:** CC presented a comprehensive update to the PCC at BCB (22 February 2024) on Cambridgeshire's Checkpoint Assessment, which included

I-2, L-2
Severity 5
Low
=



assurance against the six pillars of each of the 7 national threats.



<p>SR2.3 There is a risk that the Commissioner and Chief Constable are unable to influence national, regional or strategic alliance policies.</p>	<p>PCC & Chief Constable PCC</p>	<p>April 2021.</p>	<p>I-3, L-4, Severity 18 Medium-High</p>	<p>Nov 2023 - Following feedback from IA.</p>	<p>Apr 21 – Risk added. Controls & assurance mechanisms identified.</p> <p>Jul 21 – PCC elected (May 21). Controls & assurance mechanisms reviewed. Severity score reduced to 5 Low.</p> <p>Nov 23 – IA of Strategy, JSRR, Controls and Assurance resulted in MA. MA 1 - a) JSRR to be updated to include initial risk ratings for all risks. Completed. b) The assurances noted on the JSRR to be updated to ensure that they are clearly linked to documented controls, and that they comprehensively detail the source of assurance. Update: Governance framework in place. Assurance activity continues to map against framework. Activities to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework ongoing. Approach will enable PCC & CC to agree joint strategic risks, which will be managed through a refined JSRR.</p> <p>Mar 24 – Re MA 1b. Update: Work ongoing. The OPCC continue to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework. Gap analysis completed. Work scheduled for completion post May 2024. This will enable the PCC & CC to agree joint strategic risks, to be managed through a refined JSRR for presentation at BCB (24 July 2024) in July 2024 then JAC (30 July 2024).</p>	<p>I-2, L-2 Severity 5 Low</p> <p>=</p>
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<p>SR3.1 There is a risk that the Commissioner and Chief Constable fail to manage finances effectively.</p>	<p>CFOs (OPCC & Constabulary)</p>	<p>OPCC CEO</p>	<p>April 2021.</p>	<p>I-3, L-4, Severity 18 Medium-High</p>	<p>Jan 2024 - Following RRB.</p>	<p>Apr 21 – Risk added. Controls & assurance mechanisms identified.</p> <p>Jul 21 – PCC elected (May 21). Controls & assurance mechanisms reviewed. Severity score reduced to 14 Medium High.</p> <p>Nov 23 – IA of Strategy, JSRR, Controls and Assurance resulted in MA. MA 1 - a) JSRR to be updated to include initial risk ratings for all risks. Completed. b) The assurances noted on the JSSR to be updated to ensure that they are clearly linked to documented controls, and that they comprehensively detail the source of assurance. Update: Governance framework in place. Assurance activity continues to map against framework. Activities to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework ongoing. Approach will enable PCC & CC to agree joint strategic risks, which will be managed through a refined JSRR.</p> <p>22 Jan 24 – RRB identified issue 372 that the MTFP is not balanced hindering ability to respond to and fund future local, collaborative and national operational requirements and initiatives. It was suggested at RRB that this would move to a risk. In considering future risks, SR3.1 relates to financial controls, which is part of the Governance framework (SoG). In the migration of risks funding future local, collaborative and national operational requirements and initiatives may form part of a strategic risk re future funding and collaboration.</p>	<p>I = 2, L = 4 Severity 14 Medium-High</p> <p>=</p>
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Feb 24 – Police & Crime Panel approved the MTFs (31 January 2024) and the final budget sign-off was confirmed at BCB (22 February 2024).

Mar 24 – Update: Constabulary RRB (26 March 2024) confirmed that issue 372 has reverted to a risk, with slightly lower severity of Medium-High 14.

Mar 24 – Re MA 1b. Update: Work ongoing. The OPCC continue to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework. Gap analysis completed. Work scheduled for completion post May 2024. This will enable the PCC & CC to agree joint strategic risks, to be managed through a refined JSRR for presentation at BCB (24 July 2024) in July 2024 then JAC (30 July 2024).

<p>SR3.2 There is a risk that the Commissioner and Chief Constable fail to enter into or achieve benefits of collaboration.</p>	<p>PCC & Chief Constable</p>	<p>OPCC CEO</p>	<p>April 2021.</p>	<p>I-3, L-4, Severity 18 Medium-High</p>	<p>Jan 2024 - Following SMT.</p>	<p>Apr 21 – Risk added. Controls & assurance mechanisms identified.</p> <p>Jul 21 – PCC elected (May 21). Controls & assurance mechanisms reviewed. Severity score to remain at 18 Medium High.</p> <p>Nov 23 – IA of Strategy, JSRR, Controls and Assurance resulted in MA. MA 1 - a) JSRR to be updated to include initial risk ratings for all risks. Completed. b) The assurances noted on the JSSR to be updated to ensure that they are clearly linked to documented controls, and that they comprehensively detail the source of assurance. Update: Governance framework in place. Assurance activity continues to map against framework. Activities to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework ongoing. Approach will enable PCC & CC to agree joint strategic risks, which will be managed through a refined JSRR.</p> <p>Mar 24 – Re MA 1b. Update: Work ongoing. The OPCC continue to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework. Gap analysis completed. Work scheduled for completion post May 2024. This will enable the PCC & CC to agree joint strategic risks, to be managed through a refined JSRR for presentation at BCB (24 July 2024) in July 2024 then JAC (30 July 2024).</p>	<p>I = 3, L = 4 Severity 18 Medium-High</p> <p style="text-align: center;">=</p>
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SR3.3 There is a risk that the Commissioner and Chief Constable fail to work effectively in partnership with community safety and CJ partners and objectives of Police and Crime Plan are not delivered.

PCC & Chief Constable

OPCC CEO

April 2021.

I =4, L=4, Severity 21 High

Nov 2023 - Following feedback from IA.


Apr 21 – Risk added. Controls & assurance mechanisms identified.
Jul 21 – PCC elected (May 21). Controls
Nov 23 – IA of Strategy, JSRR, Controls and Assurance resulted in MA.
MA 1 - a) JSRR to be updated to include initial risk ratings for all risks. **Completed.**
b) The assurances noted on the JSSR to be updated to ensure that they are clearly linked to documented controls, and that they comprehensively detail the source of assurance. **Update:** Governance framework in place. Assurance activity continues to map against framework. Activities to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework ongoing. Approach will enable PCC & CC to agree joint strategic risks, which will be managed through a refined JSRR.

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I = 2, L = 3Severity 9 Medium

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<p>SR3.4 There is a risk that the Commissioner fails to ensure effective arrangements for appointment, support and challenge for DPCC, CE and CFO, and fails to provide necessary resources to CE to carry out duties.</p>	<p>PCC</p>	<p>OPCC CEO</p>	<p>April 2021.</p>	<p>I-3, L-4, Severity 18 Medium-High</p>	<p>Nov 2023 - Following feedback from IA.</p>	<p>Apr 21 – Risk added. Controls & assurance mechanisms identified.</p> <p>Jul 21 – PCC elected (May 21). Controls & assurance mechanisms reviewed. Severity score reduced to 14 Medium High.</p> <p>Oct 23 – OPCC - New PCC CFO Appointed.</p> <p>Nov 23 – IA of Strategy, JSRR, Controls and Assurance resulted in MA. MA 1 - a) JSRR to be updated to include initial risk ratings for all risks. Completed. b) The assurances noted on the JSSR to be updated to ensure that they are clearly linked to documented controls, and that they comprehensively detail the source of assurance. Update: Governance framework in place. Assurance activity continues to map against framework. Activities to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework ongoing. Approach will enable PCC & CC to agree joint strategic risks, which will be managed through a refined JSRR.</p> <p>Mar 24 – Re MA 1b. Update: Work ongoing. The OPCC continue to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework. Gap analysis completed. Work scheduled for completion post May 2024. This will enable the PCC & CC to agree joint strategic risks, to be managed through a</p>	<p>I = 2, L = 4 Severity 14 Medium-High</p> <p>=</p>
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refined JSRR for presentation at BCB (24 July 2024) in July 2024 then JAC (30 July 2024).





<p>SR3.5 There is a risk that the Commissioner fails to ensure effective arrangements for the Chief Constable to be appointed, supported and challenged while in post and to remove them from office if necessary.</p>	<p>PCC</p>	<p>OPCC CEO</p>	<p>April 2021.</p>	<p>I = 2, L = 4 Severity 14 Medium-High</p>	<p>Nov 2023 - Following feedback from IA.</p>	<p>Apr 21 – Risk added. Controls & assurance mechanisms identified.</p> <p>Jul 21 – PCC elected (May 21). Controls & assurance mechanisms reviewed. Severity score reduced to 3 Low.</p> <p>Mar 23 – Risk Exec Lead amended to including specific Exec ownership from OPCC to OPCC CEO.</p> <p>Nov 23 – IA of Strategy, JSRR, Controls and Assurance resulted in MA. MA 1 - a) JSRR to be updated to include initial risk ratings for all risks. Completed. b) The assurances noted on the JSSR to be updated to ensure that they are clearly linked to documented controls, and that they comprehensively detail the source of assurance. Update: Governance framework in place. Assurance activity continues to map against framework. Activities to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework ongoing. Approach will enable PCC & CC to agree joint strategic risks, which will be managed through a refined JSRR.</p> <p>Mar 2024 – Re MA 1b. Update: Work ongoing. The OPCC continue to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework. Gap analysis completed. Work scheduled for completion post May 2024. This will enable the PCC & CC to agree joint strategic risks, to be managed through a</p>	<p>I-1, L-2 Severity 3 Low</p>
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<p>SR3.6 There is a risk that the Commissioner and Chief Constable fail to work together effectively.</p>	<p>OPCC CEO</p>	<p>OPCC - DoG&C</p>	<p>April 2021.</p>	<p>I = 2, L = 4 Severity 14 Medium-High</p>	<p>Mar 24 – Following EMT.</p>	<p>Apr 21 – Risk added. Controls & assurance mechanisms identified.</p> <p>Jul 21 – PCC elected (May 21). Controls & assurance mechanisms reviewed. Severity score reduced to 6 Low.</p> <p>Mar 23 – Risk Exec Lead amended to including specific Exec ownership from OPCC to DoG&C.</p> <p>Nov 23 - IA of Strategy, JSRR, Controls and Assurance resulted in MA. MA 1 - a) JSRR to be updated to include initial risk ratings for all risks. Completed. b) The assurances noted on the JSSR to be updated to ensure that they are clearly linked to documented controls, and that they comprehensively detail the source of assurance. Update: Governance framework in place. Assurance activity continues to map against framework. Activities to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework ongoing. Approach will enable PCC & CC to agree joint strategic risks, which will be managed through a refined JSRR.</p> <p>Mar 24 – Re MA 1b. Update: Work ongoing. The OPCC continue to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework. Gap analysis completed. Work scheduled for completion post May 2024. This will enable the PCC & CC to agree joint strategic risks, to be managed through a</p>	<p>I = 2, L = 3 Severity 9 Medium</p> 
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refined JSRR for presentation at BCB (24 July 2024) in July 2024 then JAC (30 July 2024).

EMT – Increase in severity score from 6 to 9 due to upcoming PCC election May 2024.

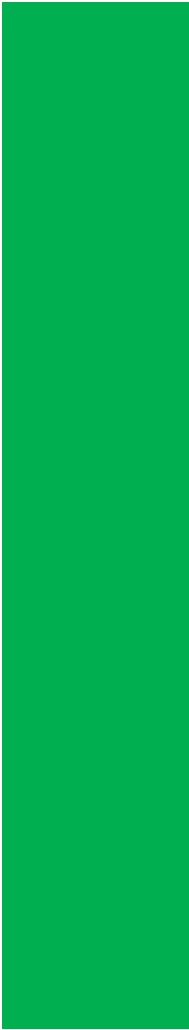


SR4.1	There is a risk that the Commissioner fails to meet the requirements of the Police and Crime Panel as it assesses the performance of the Commissioner and scrutinises the Commissioner's strategic actions and decisions.	OPCC CEO	OPCC - DoG&C	April 2021.	I = 2, L = 4 Severity 14 Medium-High	Nov 2023 - Following feedback from IA.	Apr 21 – Risk added. Controls & assurance mechanisms identified.	I-2, L-2 Severity 5 Low
							Jul 21 – PCC elected (May 21). Controls & assurance mechanisms reviewed. Severity score reduced to 5 Low.	
							May 23 – Due to resourcing issues with external audit, our 21/22 and 22/23 accounts remain unaudited, current schedule is finalised by 31 March 2024. Discussed at JAC Meeting 28 April 2023, 27 July 2023 and 31 October 2023. The 21/22 and 22/23 draft accounts are online with draft AGSs and a note that external audit has not yet started. PSAA, NAO, FRC and CIPFA are working towards a solution.	
							Nov 23 – IA of Strategy, JSRR, Controls and Assurance resulted in MA. MA 1 - a) JSRR to be updated to include initial risk ratings for all risks. Completed . b) The assurances noted on the JSSR to be updated to ensure that they are clearly linked to documented controls, and that they comprehensively detail the source of assurance. Update : Governance framework in place. Assurance activity continues to map against framework. Activities to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework ongoing. Approach will enable PCC & CC to agree joint strategic risks,	




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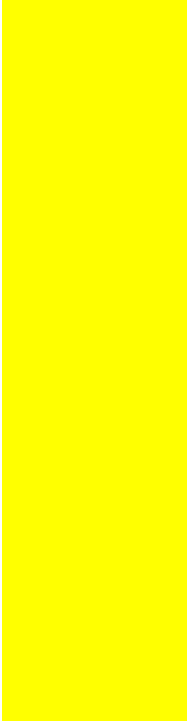


<p>SR4.2 There is a risk that the Chief Constable fails to deploy staff to deliver policing objectives in Police & Crime Plan. The Commissioner fails to establish mechanisms to hold the Chief Constable to account.</p>	<p>PCC & Chief Constable</p>	<p>OPCC CEO</p>	<p>April 2021.</p>	<p>I-3, L-4, Severity 18 Medium-High</p>	<p>Nov 2023 - Following feedback from IA.</p>	<p>Apr 21 – Risk added. Controls & assurance mechanisms identified.</p> <p>Jul 21 – PCC elected (May 21). Controls & assurance mechanisms reviewed. Severity score reduced to 9 Medium.</p> <p>Nov 23 – IA of Strategy, JSRR, Controls and Assurance resulted in MA. MA 1 - a) JSRR to be updated to include initial risk ratings for all risks. Completed. b) The assurances noted on the JSRR to be updated to ensure that they are clearly linked to documented controls, and that they comprehensively detail the source of assurance. Update: Governance framework in place. Assurance activity continues to map against framework. Activities to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework ongoing. Approach will enable PCC & CC to agree joint strategic risks, which will be managed through a refined JSRR.</p> <p>Mar 24 – Re MA 1b. Update: Work ongoing. The OPCC continue to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework. Gap analysis completed. Work scheduled for completion post May 2024. This will enable the PCC & CC to agree joint strategic risks, to be managed through a refined JSRR for presentation at BCB (24 July 2024) in July 2024 then JAC (30 July 2024).</p>	<p>I = 2, L = 3 Severity 9 Medium =</p>
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<p>SR4.3 There is a risk that the Commissioner fails to meet the requirements of the Police and Crime Panel as it assesses the performance of the Commissioner and scrutinises the Commissioner's strategic actions and decisions.</p>	<p>OPCC CEO</p>	<p>OPCC - DoG&C</p>	<p>April 2021.</p>	<p>I = 2, L = 4 Severity 14 Medium- High</p>	<p>Nov 2023 - Following feedback from IA.</p>	<p>Apr 21 – Risk added. Controls & assurance mechanisms identified.</p> <p>Jul 21 – PCC elected (May 21).Controls & assurance mechanisms reviewed. Severity score reduced to 9 Medium Low.</p> <p>Oct 22 – Risk Exec Lead amended from HoC to DoG&C.</p> <p>Nov 23 – IA of Strategy, JSRR, Controls and Assurance resulted in MA. MA 1 - a) JSRR to be updated to include initial risk ratings for all risks. Completed. b) The assurances noted on the JSSR to be updated to ensure that they are clearly linked to documented controls, and that they comprehensively detail the source of assurance. Update: Governance framework in place. Assurance activity continues to map against framework. Activities to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework ongoing. Approach will enable PCC & CC to agree joint strategic risks, which will be managed through a refined JSRR.</p> <p>Mar 24 – Re MA 1b. Update: Work ongoing. The OPCC continue to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework. Gap analysis completed. Work scheduled for completion post May 2024. This will enable the PCC & CC to agree joint strategic risks, to be managed through a refined JSRR for presentation at BCB (24</p>	<p>I = 2, L = 3 Severity 9 Medium</p>
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July 2024) in July 2024 then JAC (30 July 2024).



<p>SR4.4 There is a risk that the Chief Constable fails to safeguard the welfare (including health & safety as well as equality & diversity) of all officers and staff within their direction and control, and ensure that members of the public, offenders and employees of other service contractors are not exposed to risks as far as reasonably practicable (including safeguarding children, the promotion of child welfare and safer detention and handling).</p>	<p>CC</p>	<p>OPCC CEO</p>	<p>April 2021.</p>	<p>I-3, L-4, Severity 18 Medium-High</p>	<p>Nov 2023 - Following feedback from IA.</p>	<p>Apr 21 – Risk added. Controls & assurance mechanisms identified.</p> <p>Jul 21 – PCC elected (May 21). Controls & assurance mechanisms reviewed. Severity score reduced to 14 Medium-High.</p> <p>Nov 23 – IA of Strategy, JSRR, Controls and Assurance resulted in MA. MA 1 - a) JSRR to be updated to include initial risk ratings for all risks. Completed. b) The assurances noted on the JSSR to be updated to ensure that they are clearly linked to documented controls, and that they comprehensively detail the source of assurance. Update: Governance framework in place. Assurance activity continues to map against framework. Activities to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework ongoing. Approach will enable PCC & CC to agree joint strategic risks, which will be managed through a refined JSRR. c) SRR4.4 to be split to help document controls and control assurances more effectively. Update: Considered that MA1 c equally applies to SR4.5. Feedback from IA and review of Governance Framework necessitated review of SRR, see b above. Ongoing.</p> <p>Mar 24 – Re MA 1b. Update: Work ongoing. The OPCC continue to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework.</p>	<p>I = 2, L = 4 Severity 14 Medium-High =</p>
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Gap analysis completed. Work scheduled for completion post May 2024. This will enable the PCC & CC to agree joint strategic risks, to be managed through a refined JSRR for presentation at BCB (24 July 2024) in July 2024 then JAC (30 July 2024). Re MA 1c SRR4.4 & SR4.5 to be split to help document controls and control assurances more effectively. SR 4.4 and SR 4.5 split with SR 4.6 and SR 4.7 added to address this MA. MA1-c completed.

SR4.5	There is a risk that the Chief Constable fails to ensure that members of the public, offenders and employees of other service contractors are not exposed to risks as far as reasonably practicable (including safeguarding children, the promotion of child welfare and safer detention and handling).	CC	OPCC CEO		I-3, L-4, Severity 18 Medium-High	Nov 2023 - Following feedback from IA.	<p>Mar 24 – Re MA 1c SRR4.4 & SR4.5 to be split to help document controls and control assurances more effectively. SR 4.4 and SR 4.5 split with SR 4.6 and SR 4.7 added to address this MA. MA1-c Completed.</p> <p>Re MA 1b. Update: Work ongoing. The OPCC continue to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework. Gap analysis completed. Work scheduled for completion post May 2024. This will enable the PCC & CC to agree joint strategic risks, to be managed through a refined JSRR for presentation at BCB (24 July 2024) in July 2024 then JAC (30 July 2024).</p>	I = 2, L = 4 Severity 14 Medium-High =
SR4.6	There is a risk that the Commissioner fails to establish effective mechanisms for holding the Chief Constable to account for the exercise of their duties to safeguard the welfare (including health & safety as well as equality & diversity) of all officers and staff within their direction and control. The Commissioner fails to fulfil their own duties in this area (including data protection and equality and diversity).	PCC	OPCC CEO	April 2021.	I-3, L-4, Severity 18 Medium-High	Nov 2023 - Following feedback from IA.	<p>Apr 21 - Risk added. Controls & assurance mechanisms identified.</p> <p>Jul 21 - PCC elected (May 21). Controls & assurance mechanisms reviewed. Severity score reduced to 8 Medium.</p> <p>Nov 23 - IA of Strategy, JSRR, Controls and Assurance resulted in MA. MA 1 - a) JSRR to be updated to include initial risk ratings for all risks. Completed. b) The assurances noted on the JSSR to be updated to ensure that they are clearly linked to documented controls, and that they comprehensively detail the source of assurance. Update: Governance framework in place. Assurance activity continues to map against framework.</p>	I = 2, L = 3 Severity 9 Medium =

Activities to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework ongoing. Approach will enable PCC & CC to agree joint strategic risks, which will be managed through a refined JSRR.

c) New SR4.4 to be split to help document controls and control assurances more effectively. **Update:** Considered that MA1 c equally applies to SR4.5. Feedback from IA and review of Governance Framework necessitated review of SRR, see b above. Ongoing.

Mar 24 – Re MA 1b. **Update:** Work ongoing. The OPCC continue to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework. Gap analysis completed. Work scheduled for completion post May 2024. This will enable the PCC & CC to agree joint strategic risks, to be managed through a refined JSRR for presentation at BCB (24 July 2024) in July 2024 then JAC (30 July 2024). Re MA 1c SRR4.4 & SR4.5 to be split to help document controls and control assurances more effectively. SR 4.4 and SR 4.5 split with SR 4.6 and SR 4.7 added to address this MA. MA1-c completed.

SR4.7 There is a risk that the Commissioner fails to establish effective mechanisms for holding the Chief Constable to account for the exercise of their duties to safeguard children, the promotion of child welfare and safer detention and handling. The Commissioner fails to fulfil their own duties in this area (including data protection and equality and diversity).

PCC

OPCC CEO

April 2021.

I-3, L-4,
Severity
18
Medium-
High

Mar 24 – Re MA 1c SRR4.4 & SR4.5 to be split to help document controls and control assurances more effectively. SR 4.4 and SR 4.5 split with SR 4.6 and SR 4.7 added to address this MA. MA1-c **Completed.**

Re MA 1b. **Update:** Work ongoing. The OPCC continue to migrate current strategic risks, based upon statutory responsibilities, across into the Governance & Assurance framework. Gap analysis completed. Work scheduled for completion post May 2024. This will enable the PCC & CC to agree joint strategic risks, to be managed through a refined JSRR for presentation at BCB (24 July 2024) in July 2024 then JAC (30 July 2024).

I = 2, L = 3
Severity 9
Medium

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