

Joint Audit Committee

03 November 2022

Responsible Officer:	Impact on Business:		Risk Register Reference & RAG
James Haylett Chief Executive OPCC	High		
	Medium		
	Low	X	
Lead Manager:	Report Type:		
Jack Hudson Head of Business Development OPCC	Governance	X	
	Strategic		
	Operational		
Previous papers to Committee:			
28 April 2022: Six-monthly update to Joint Audit Committee.			

Report summary	<p>The purpose of this report is to provide the Joint Audit Committee (JAC) with a six-monthly update of the principal risks as contained within the joint Office for the Police and Crime Commissioner (OPCC) and the Constabulary, Strategic Risk Register (SRR).</p> <p>One of the main principles of risk management is timely, accurate and useful risk reporting to enhance the quality of decision-making. Risks can crystallise quickly. Identifying and assessing risk is an iterative process, with emerging risks presented for consideration through existing governance and assurance arrangements. These arrangements allow for the escalation of significant risks more rapidly when required.</p>
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	<p>The primary governance arrangements for oversight of the joint SRR is through the Business Coordination Board (BCB), with both the Commissioner and the Chief Constable present. The BCB meet to review the register on a quarterly basis. It was last reviewed by the BCB on 23 August 2022. It is due to be reviewed by the BCB on 24 November 2022. Reports to the BCB provide a balanced assessment of the principal risks and the effectiveness of risk management. Further assurance is provided by the JAC on a six-monthly basis. The joint SRR was last reviewed by the JAC on 28 April 2022.</p> <p>The joint SRR (Vers:014), shown at Annex 1, is a living document regularly reviewed as part of OPCC business. It provides updated information for each principal risk. This includes causes, effects, controls in place and assurance mechanisms as well as future controls and assurance.</p> <p>The joint SRR includes fifteen principal risks. There are currently five which have been assessed as significant, four assessed as moderate and six assessed as minor. No new principal risks have been identified within the last six months. Causes, effects and controls within the joint SRR have been reviewed against the governance structure and new assurances have been added.</p>			
Related Police and Crime Plan objective				
Action (tick one box only)	Information <input type="checkbox"/>	Assurance <input checked="" type="checkbox"/>	Approval <input type="checkbox"/>	Decision <input type="checkbox"/>
Recommendation	That the JAC note the current position of the joint SRR, provide further assurance and identify any improvement opportunities to support the development of the joint approach to risk management.			