

Year	Ref	Project Name	Status	Start Date	Assurance	Lead	Responsible	High	Med	Low	High	Med	Low	High	Med	Low	High	Med	Low
2022/2023	1.22/23	Complaints	Revised Draft	19 July 2022	Reasonable Assurance	DCC Jane Gyford	Supt Rob Hall	0	4	4	0	0	0	0	4	4	0	0	0
		Fraud Risk Assessment				DCC Jane Gyford	ACC Vicki Evans												
		Victims Code of Practice				ACC Vicki Evans	Steve Welby												
		GDPR (Constabulary)				DCC Jane Gyford	Jack Chimes												
		Governance (OPCC & Constabulary)				Jim Haylett (OPCC) DCC Jane Gyford	Jim Haylett (OPCC) Supt Rob Hall												
		Follow Up				DCC Jane Gyford	Supt Rob Hall												
		BCH HR Transactions Cambis				DCC Jane Gyford	Rachel Wilkinson												
	BCH TBC (Beds)	BCH Cameras, Tickets and Collisions Beds	Debrief	25 July 2022	TBC	ACC Vicki Evans	Hazel Robertson	0	1	2	0	0	0	0	1	2	0	0	0
		BCH Corporate Review – BCH Governance Cambis				DCC Jane Gyford	Supt Rob Hall												
		BCH Health and Safety Beds				ACC Vicki Evans	Rachel Wilkinson												
		BCH Preparedness for Emergency Service Network Herts				DCC Jane Gyford	Jon Black												
		Police Education Qualifications Framework (including uplift) Herts				DCC Jane Gyford	Ch. Supt Becky Tipping												
2021/2022	5.21/22	Seized Property and Controlled Drugs	Final	13 December 2021	Substantial Assurance	ACC Vicki Evans	Bev Davis	0	0	2	0	0	2	0	0	0	0	0	0
	BCH 4.21/22 (Beds)	Proceeds of Crime Assets (POCA) - Reporting on Cambis priority actions only	Final	17 December 2022	Reasonable Assurance	ACC Vicki Evans	Bev Davis	0	1	3	0	1	3	0	0	0	0	0	0
	4.21/22	CHIS payments and Covert accounts	Final	29 November 2021	Reasonable Assurance	ACC Vicki Evans	Ch Supt Mark Greenhalgh	0	4	4	0	4	4	0	0	0	0	0	0
	2.21/22	Risk Management	Final	03 August 2021	Reasonable Assurance	DCC Jane Gyford / Jim Haylett (OPCC)	T/Supt Rob Hall / Jim Haylett (OPCC)	0	1	2	0	1	2	0	0	0	0	0	0
	1.21/22	Estates - Project Management of the new Southern Police Station	Final	07 July 2021	Reasonable Assurance	Chief Finance Officer Jon Lee	Director of Estates	0	3	1	0	3	1	0	0	0	0	0	0
2020/2021	7.20/21	Follow up	Final	21 April 2021		DCC Jane Gyford	T/Supt Rob Hall												
	6.20/21	Ethics and Equality Systems and Improvement Projects	Final	19 April 2021	Substantial Assurance	DCC Jane Gyford	Ch Supt Mark Greenhalgh	0	0	3	0	0	3	0	0	0	0	0	0
	5.20/21	Business Planning and Project Management	Final	19 April 2021	Substantial Assurance	DCC Jane Gyford	T/Supt Rob Hall	0	1	0	0	1	0	0	0	0	0	0	0
	BCH 4.20/21	Collaboration Occupational Health & Wellbeing	Final	22 December 2020	No audit opinion - Advisory assessment only	DCC Jane Gyford	Rachel Wilkinson	0	1	0	0	1	0	0	0	0	0	0	0
	BCH 3.20/21	Collaboration Health and Safety including Key Controls	Final	20 November 2020	Substantial Assurance	ACC Vicki Evans	Rachel Wilkinson	0	0	4	0	0	4	0	0	0	0	0	0
2019/20	8.19/20	Follow up	Final	08 July 2020		DCC Jane Gyford	Jon Lee												
	7.19/20	Code of Practice for Victims of Crime	Final	20 April 2020	Reasonable Assurance	ACC Vicki Evans	Jon Lee / Jim Haylett	0	2	0	0	2	0	0	0	0	0	0	0
	6.19/20	Risk Management	Final	18 February 2020	Substantial Assurance	DCC Jane Gyford	Supt Adam Gallop	0	0	0	0	0	0	0	0	0	0	0	0
	5.19/20	Constabulary Governance - Structure and Process	Final	20 February 2020	Reasonable Assurance	DCC Jane Gyford	T/Supt Rob Hall	0	2	1	0	2	1	0	0	0	0	0	0
	BCH 2.19/20	**Collaborated Procurement	Final	21 April 2020	Partial Assurance	DCC Jane Gyford	Jon Lee	1	6	1	1	6	1	0	0	0	0	0	0
	BCH 1.19/20	Health and Safety	Final	29 October 2019	No Assurance	ACC Vicki Evans	Rachel Wilkinson	1	6	5	1	6	5	0	0	0	0	0	0
2018/19	12.18/19	Follow up	Final	16 July 2019		DCC Jane Gyford	T/Supt Rob Hall												
	11.18/19	Business Planning & Force Management Statement	Final	02 April 2019	Reasonable Assurance	DCC Jane Gyford	Supt Adam Gallop	0	2	0	0	2	0	0	0	0	0	0	0
	10.18/19	Risk Management (Joint OPCC and Force)	Final	09 April 2019	Reasonable Assurance	DCC Jane Gyford / Dorothy Gregson	Jon Lee / Dorothy Gregson	0	1	2	0	1	2	0	0	0	0	0	0
	BCH 9.18/19	Collaborated Uniform & Equipment Stores	Final	10 April 2019	Reasonable Assurance	DCC Jane Gyford	Jon Lee	0	2	1	0	2	1	0	0	0	0	0	0
	BCH 7.18/19	ICT Infrastructure - Revised Final	Final	09 April 2019	Reasonable Assurance	DCC Jane Gyford	Jonathan Black	0	4	1	0	4	1	0	0	0	0	0	0
	BCH 5.18/19	Information Management (GDPR only)	Final	21 March 2019	No audit opinion - Advisory assessment only	DCC Jane Gyford	Andy Gilks	0	11	0	0	11	0	0	0	0	0	0	0
	7.18/19	Governance - Delivery of Major Projects (LPR)	Final	12 March 2019	Partial Assurance	DCC Jane Gyford	Supt Adam Gallop	0	9	0	0	9	0	0	0	0	0	0	0
	BCH 3.18/19	Custody including Health & safety Incident reporting	Final	26 October 2018	Reasonable Assurance	DCC Jane Gyford	James Hurley	0	1	2	0	1	2	0	0	0	0	0	0
	BCH 2.18/19	Human Resources - Readiness for implementation of new system	Final	19 November 2018	Reasonable Assurance	DCC Jane Gyford	James Hurley	0	5	0	0	5	0	0	0	0	0	0	0
	3.18/19	Seized Property and Controlled Drugs	Final	30 October 2018	Reasonable Assurance	ACC Vicki Evans	Bev Davis	0	1	1	0	1	1	0	0	0	0	0	0
	2.18/19	Estates Management	Final	05 September 2018	Reasonable Assurance	DCC Jane Gyford	Jon Lee	0	4	1	0	4	1	0	0	0	0	0	0
	1.18/19	Communications Strategy	Final	18 September 2018	Reasonable Assurance	DCC Jane Gyford	Sarah Cooper	0	1	2	0	1	2	0	0	0	0	0	0
2017/18	10.17/18	Follow up	Draft	21 May 2018		DCC Jane Gyford	Supt Adam Gallop												
	8.17/18	Risk Management	Final	04 May 2018	Substantial Assurance	DCC Jane Gyford	Supt Adam Gallop	0	0	2	0	0	2	0	0	0	0	0	0
	7.17/18	Collaboration - HR Recruitment and Succession Planning	Final	16 May 2018	Reasonable Assurance	DCC Jane Gyford	Rachel Wilkinson	0	2	3	0	2	3	0	0	0	0	0	0
	6.17/18	Professional Standards Dept: Governance and Improvement	Final	05 April 2018	Reasonable Assurance	DCC Jane Gyford	DSupt Amanda Bell	0	2	3	0	2	3	0	0	0	0	0	0
	2.17/18	Equality and Diversity	Final	24 August 2017	Reasonable Assurance	DCC Jane Gyford	Cl Chris Savage	0	4	4	0	4	4	0	0	0	0	0	0
	1.17/18	Seized Property and Controlled Drugs	Final	11 December 2017	No assurance (superceded by 3.18/19)	ACC Dan Vajzovic	Supt Laura Hunt	1	9	0	1	9	0	0	0	0	0	0	0
2016/17	9.16/17	Covert Human Intelligence Sources	Final	11 May 2017	Reasonable Assurance	ACC Dan Vajzovic	D/Supt Ian Middleton	0	4	0	0	4	0	0	0	0	0	0	0
	8.16/17	Collaboration - Human Resources Post Impact Assessment	Final	17 March 2017	Reasonable Assurance	DCC Alan Baldwin	Rachel Wilkinson	0	2	0	0	2	0	0	0	0	0	0	0
	7.16/17	ICT Strategy	Final	20 March 2017	Reasonable Assurance	DCC Alan Baldwin	Jonathan Black	0	2	0	0	2	0	0	0	0	0	0	0
	6.16/17	Risk Management	Final	02 March 2017	Substantial Assurance	DCC Alan Baldwin	Natalie Benton	0	2	0	0	2	0	0	0	0	0	0	0
	5.16/17	Victim Satisfaction and Victim Witness Care	Final	06 December 2016	Substantial Assurance	ACC Dan Vajzovic	Steve Welby	0	0	2	0	0	2	0	0	0	0	0	0
	2.16/17	Information Management	Final	21 November 2016	Reasonable Assurance	ACC Nav Malik	Andy Gilks	0	5	3	0	5	3	0	0	0	0	0	0
	1.16/17	Collaboration - Health and Safety *	Final	28 August 2016	Partial Assurance	DCC Alan Baldwin	Rachel Wilkinson	0	5	0	0	5	0	0	0	0	0	0	0
2015/16	1.15/16	Estates Management	Final	15 September 2015	Reasonable Assurance	Niki Howard	Colin Luscombe	0	5	0	0	5	0	0	0	0	0	0	0
								Totals	3	110	53	3	110	53	0	0	0	0	0
										166			166		0			0	
								Total actions	Completed actions			Outstanding actions			Actions outside due date				

The grey shaded audits are completed & signed off by RSM auditors  
 \* BCH Health and Safety (1.16/17) now superceded by 1.19/20 and 3.20/21  
 \*\*Collaborated Procurement (BCH 2.19/20) now superceded by BCH6.20/21 Progress will be reported through the Finance audit updates from Jon Lee.