



To: Joint Audit Committee
From: Supt Rob Hall, Head of Organisational Improvement Centre
Date: 09 August 2022

Audit Recommendations – Non-Financial Business Areas – Progress Report

1. Purpose

1.1 The purpose of this paper is to update the Joint Audit Committee on progress against outstanding non-financial audit recommendations for the Constabulary.

2. Recommendation

2.1 The Committee is asked to note the contents of the report.

3. Background

3.1 Monitoring of the recommendations from internal and external non-financial audit reports is undertaken by the Organisational Improvement Centre (OIC). This is done via an action plan held by OIC.

3.2 Recommendations are prioritised into high, medium, or low importance by the auditors. These are then assessed by the Constabulary and strategic owners identified to progress each one.

3.3 Actions are placed on to the tri-force Action Monitoring System (AMS).

On AMS actions are given a status of:

- i. Complete

- ii. Being progressed
 - iii. Will not be progressed.
- 3.4 The force has introduced an Excel status report, to provide an overview of audits completed, owners, assurance, and actions. This will enable the Joint Audit Committee to see clearly how many actions have been raised and completed, and how many are outstanding past their due date.
- 3.5 Governance of the progress against RSM audits is through the quarterly Business Assurance Meeting and the monthly Force Executive Board.

4. Recent Audit Reports

- 4.1. One draft audit report has been received since last Joint Audit Committee meeting on 14th April 2022. In addition, one debrief has been held.
- 4.2 The Complaints Audit (revised) draft report was provided by RSM on 19th July and is under review. It contains four medium and four low actions, with an overall conclusion of 'Reasonable Assurance'.
- 4.3 The BCH Cameras Tickets & Collisions (CTC) debrief has been held on 25th July. Bedfordshire are the lead force for this audit. Currently, there are three actions (one Medium and two Low), although this may vary, depending on the outcome of outstanding requests from RSM. The assurance is not yet stated.
- 4.4 As referenced in Appendix 1 to this report, there are no outstanding high, medium, or low priority actions, outside their due date, which are not currently either part of a planned audit or internal review.

5. Current Status

- 5.1 A full review of all outstanding internal non-financial audit actions was completed by OIC in April and presented to the quarterly Business Assurance Meeting (BAM) on 8 April 2022. Further reviews will be undertaken as the audit reports are received

5.2 Recent actions and new actions arising out of recent audits have been placed onto AMS and a copy of the Excel status report is attached at Appendix 1.

BIBLIOGRAPHY

Source Documents	
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Contact Officer	Les McCracken, Force Risk Controller, Organisational Improvement Centre, Cambridgeshire Constabulary.
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